

---

# Stakeholders Workshop on Pilot of the Collaborative Registration Procedure (CRP) of Vector Control Products

Cotonou, Benin  
28 -29 September 2023

Overview of the workshop objectives, agenda and  
approach

**Marie Valentin**  
Team Lead  
WHO



---

## Objective of the meeting



Identify needs and challenges for facilitated product introductions of prequalified vector control products.

---

## Specific objectives



---

To share experience and strategize on how address to improve access to WHO-prequalified vector control products,

---

To discuss ways to facilitate registration of Vector Control products through reliance mechanisms;

---

To discuss and agree on criteria for participating in the pilot CRP for VCP (identify countries and products);

---

To agree on action points (short-term, midterm and long-term) towards implementation of the prospective CRP for VCP.

# AGENDA - Day 1

Day 1	Moderator: AFRO	
08:30 - 9:00	Registration	All
09:00 - 09:20	Welcoming and opening remarks Introductions	Angus Spiers, Director, I2I WHO AFRO or Marie Valentin, WHO/REG/FPI
09:20 - 09:30	Overview of the workshop objectives, agenda and approach (10 minutes presentation)	Marie Valentin, WHO/REG/FPI
09:30 - 10:00	Fighting vector-borne diseases by optimizing vector control tools (20 minutes presentation and 10 minutes of discussion)	Angus Spiers, Director, I2I
10:00 - 10:30	Coffee break	All
10:30 - 11:15	Overview of Facilitated Product Introduction pathways (30 minutes presentation and 10 minutes of discussion)	Agnes Sitta Kijo, WHO/REG/FPI
11:15 - 12:00	Collaborative Registration Procedure: overview, mechanisms, tools, achievements (30 minutes presentation and 10 minutes of discussion)	Sunday Kisama, WHO/REG/FPI
12:00 - 12:30	Reliance and collaborative approaches on in country registration of vector control products (20 minutes presentation and 10 minutes of discussion)	Angus Spiers, Director, I2I
12:30 - 13:30	Lunch Break	All
13:30 - 14:30	Overview of WHO Prequalification and Vector Control Prequalification Stream <ul style="list-style-type: none"> <li>• Product Assessment;</li> <li>• Site Inspections;</li> <li>• Prequalification output and life cycle maintenance.</li> </ul> (45 minutes presentation and 15 minutes of discussion)	Dominic Schuler, WHO/PQ/VCT
14:30 - 15:00	Coffee Break	
15:00 - 16:00	Panel Discussion: Country approaches and methods to vector-borne diseases control and status of VCP regulation.  (60 minutes of Q&A)	Panel discussion Moderator: Marie Valentin, WHO/REG/FPI  <u>Panelists:</u> <ul style="list-style-type: none"> <li>• Kenya</li> <li>• Uganda</li> <li>• Tanzania</li> <li>• Nigeria</li> <li>• Burkina Faso</li> <li>• Rwanda</li> <li>• Democratic Republic of Congo (DRC)</li> <li>• Ethiopia</li> </ul>
16:00	End of day one	

# AGENDA - Day 2

<b>Day 2</b>		
<b>Moderator:</b> Angus Spiers, Director, I2I		
09:00 – 10:30	<p>WHO Prequalification of vector control products: Manufacturer's perspectives and envisaged support to CRP - VCP</p> <p>(60 minutes presentations (20 minutes each) and 30 minutes of discussion)</p>	<p>Panel discussion Moderator: Dominic Schuler WHO/PQ/VCT</p> <p><u>Panelists:</u></p> <ul style="list-style-type: none"> <li>• Manufacturer of PQed VCPs</li> <li>• Manufacturers of VCP under PQ</li> <li>• Vector Control Development Partner</li> </ul>
10:30 – 11:00	Coffee break	All
11:00 – 13:00	<p>Collaborative registration procedure for WHO prequalified vector control products (CRP – VCP): Proposed Pilot incl tools and sources of information to support CRP-VCP</p> <p>(60 minutes presentation)</p> <p>Reflections, Questions and Answers</p> <ul style="list-style-type: none"> <li>• reflections in line with existing registration <u>framework</u>;</li> <li>• what could <u>work</u>;</li> <li>• challenges <u>foreseen</u>;</li> <li>• solutions and what could be <u>achieved</u>;</li> <li>• readiness for participating in the pilot; signing of CRP agreements for pilot <u>phase</u></li> </ul> <p>(60 minutes discussion)</p>	<p>Agnes Sitta Kijia, WHO/REG/FPI</p> <p>Ana Rita Nogueira WHO/REG/FPI</p>
13:00 – 14:00	Lunch break	All
14:00 – 14:30	Summary of discussions and next steps	<p>Marie Valentin, WHO/REG/FPI</p> <p>Ana Rita Nogueira WHO/REG/FPI</p>
14:30 – 15:00	Coffee /discussion	All
15:30 – 16:00	Closing	Angus Spiers, Director, I2I
16:00	End of day two and adjourn	

---

Thank you for your attention!



For more information, please  
contact:  
Marie Valentin  
[valentinm@who.int](mailto:valentinm@who.int)



# Overview of the Vector Control Landscape

Case for change in the adoption of new tools



# Introductions | Innovation to Impact



To promote innovation, efficiency and quality in vector control product development by working together with all the stakeholders involved in developing and bringing new vector control tools to market, identifying shared obstacles & catalyzing solutions



A product development environment conducive to innovation and investment which efficiently delivers a steady stream of new, quality vector control tools to those who need them most, then safeguards their continued effectiveness





# Vector-borne diseases are varied and disproportionately affect sub-Saharan Africa

## Mosquitoes (various species):

chikungunya, dengue, Japanese encephalitis, lymphatic filariasis, malaria, yellow fever, Zika virus disease, Rift Valley fever, West Nile virus

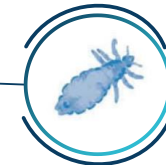
## Fleas:

murine typhus, plague



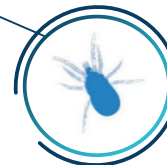
## Flies (various species):

onchocerciasis, human African trypanosomiasis



## Mites and lice:

Rickettsial pox, typhus



**Ticks:** borreliosis (Lyme diseases), Crimean-Congo, haemorrhagic fever, tick-borne encephalitis, typhus

## Snails:

schistosomiasis



## Sandflies:

the leishmaniases (cutaneous, mucocutaneous, and visceral)

## Risk

80% of the world's population is at risk of one or more vector-borne disease

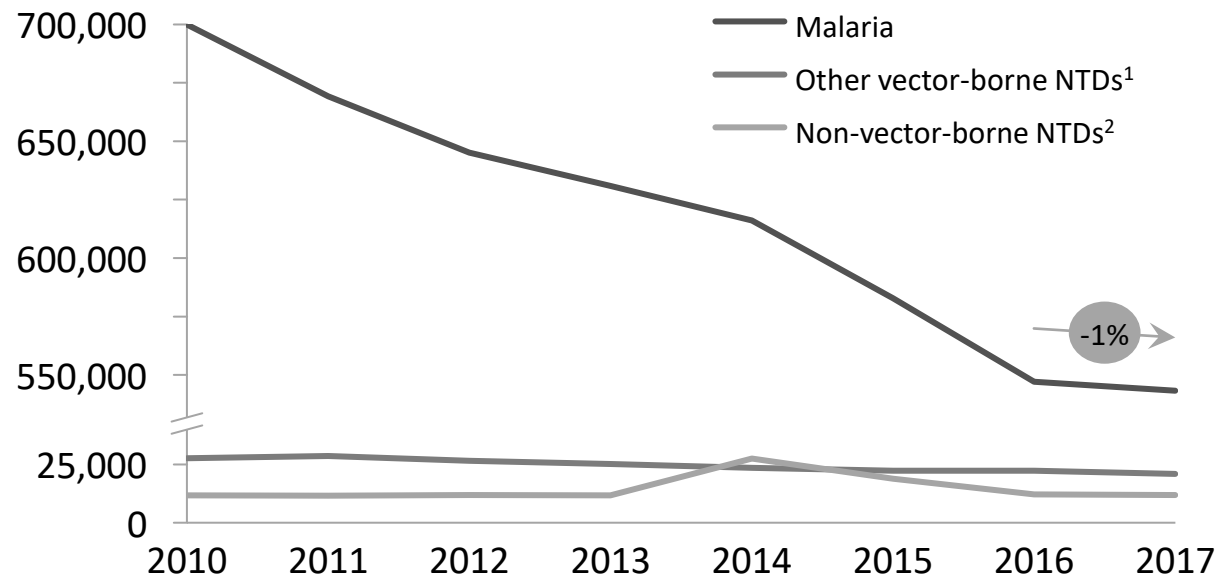
## Mortality

Over 700,000 deaths are caused by vector-borne diseases annually, 80% of which are in sub-Saharan Africa

# Despite strong progress, vector-borne diseases & NTDs continue to impose a significant challenge on African development

Significant progress made against malaria pre-2016, but limited progress for other NTDs & stalling momentum...

Number of deaths in Sub-Saharan Africa



...with continued, significant social & economic cost

Example impacts of disease prevalence



Malaria continues to slow economic growth by 0.25-1.3% per year and strains public health systems, accounting for up to 40% of spending in high-transmission settings



The daily economic burden for a dengue illness infection can be 0.7 – 5X an individual's average daily income<sup>3</sup>

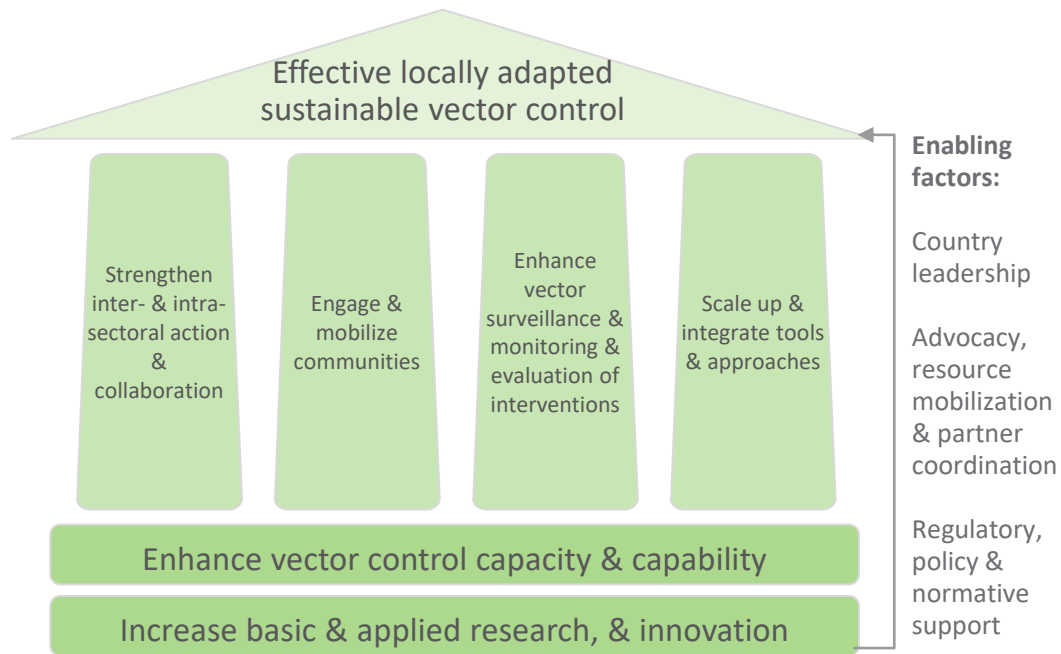


10% increase in malaria incidence correlates to 0.1 years of schooling missed and literacy reduction of 1-2 percentage points


1. African trypanosomiasis, Ascariasis, Cystic echinococcosis, Cysticercosis, Dengue, Hookworm disease, Leishmaniasis, Thricuriasis, Schistosomiasis, Yellow fever; 2. Ebola, Rabies, Food-borne trematodiasis, leprosy, podoconiosis, arthropod-borne viral infections, bacterial relapsing fevers, unspecified protozoan diseases and helminthic diseases for which data is available; 3. Uses the average daily cost of dengue illness in Burkina Faso and Kenya divided by the average daily income in those countries, respectively. Sources: WHO Global Health Observatory & Global Health Estimates; IHME Global Burden of Disease Study 2017; RBM Action and Investment to Defeat Malaria 2016-2030; Lee J-S, Mogasale et al. (2019) A multi-country study of the economic burden of dengue fever based on patient-specific field surveys in Burkina Faso, Kenya, and Cambodia. PLoS Negl Trop Dis 13(2)

# Eliminating vector-borne and other Neglected Tropical Diseases is a global agenda

The WHO has developed an integrated strategy to reduce the burden of vector-borne diseases: **Global Vector Control Response 2017-2030**



The African Union has also highlighted a focus on the prevention of malaria & Neglected Tropical Diseases (NTDs)

 One of AU's key health objectives is the **continent-wide elimination of malaria by 2030<sup>1</sup>**

The AU also created the **Africa Center for Disease Control and Prevention** in 2017; one strategic objective is to support health systems strengthening by **addressing NTDs**



Finally, AU launched the **Zero Malaria Starts with Me** campaign in 2018, with the goal of building **country ownership, awareness and political commitment to malaria elimination**

1. Agenda 2063, Catalytic Framework to End AIDS, TB and Eliminate Malaria by 2030  
Source: WHO Global vector control response 2017–2030 (GVCR)

# Vector control products are key in the fight against vector-borne diseases through prevention of new cases

Sample vector control products and impacts on malaria



## Insecticide treated bed nets (ITN)

Single most important contributor to decline of malaria cases between 2000 and 2015, responsible for **451 million averted cases**

Half of people at risk in Africa are estimated to be sleeping under an ITN at a median cost as low as \$2.20 per person per year



## Indoor residual sprays (IRS)

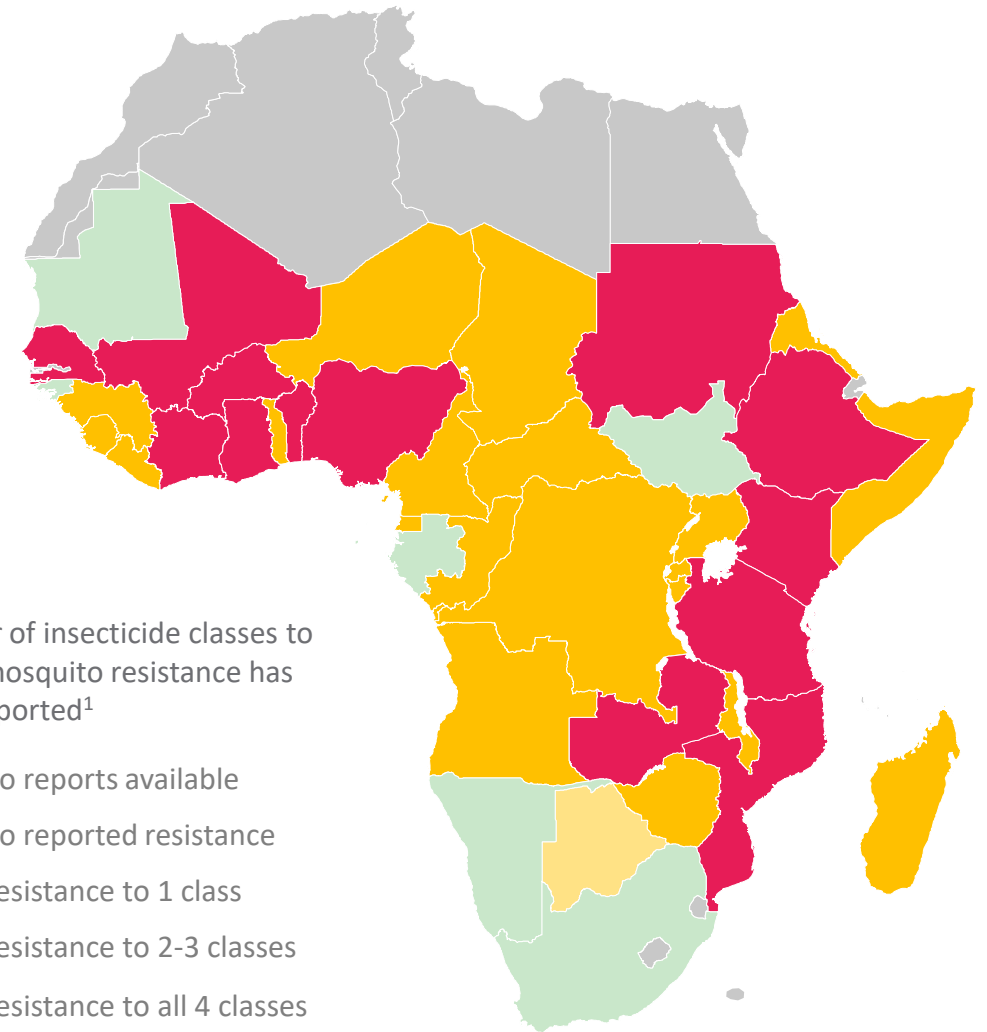
Depending on location, malaria infections have been **reduced from between 30% and 90%** by deploying IRS products; between 2000 and 2015 IRS are responsible for **66 million averted cases**

An estimated 3% of African population at risk is protected by IRS at a median cost of \$6.70 per person per year

Both nets and sprays are also used to effectively control other vector-borne illnesses

However, **resistance** to insecticides used in vector control products has been **steadily increasing**

...leading to a risk for resurgence of disease

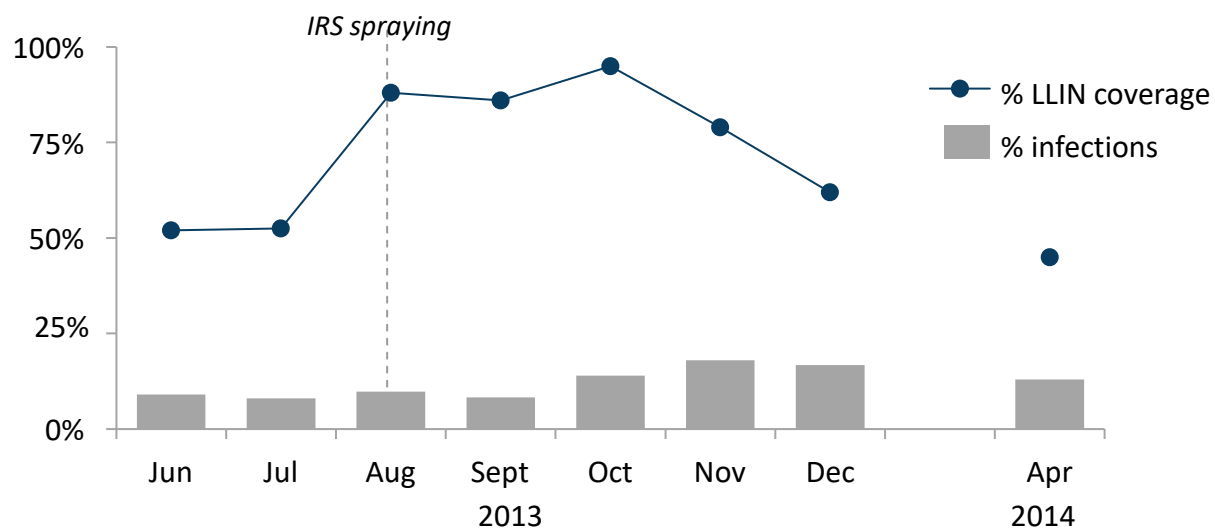


1. Four major classes are Pyrethroids, Organochlorines, Organophosphates & Carbamates  
Source WHO Global report on insecticide resistance in malaria vectors 2010-2016

# Furthermore, **existing VC products are insufficient** to eliminate the deadliest vector-borne disease: Malaria

Despite high coverage of control interventions, residual malaria transmission can still be prominent...

Infection rates in The Gambia despite mass distribution of LLINs and annual IRS spraying with DDT



... meaning further tools are required to achieve elimination

## Reasons for **residual transmission**

- Avoidance of treated indoor surfaces
- Feeding on unprotected humans outdoors

## Some strategies for tackling residual transmission

- Provide indoor protection to individuals who are not sleeping under nets
- Provide outdoor protection to humans
- Modify mosquito population genome to affect fertility / ability to transmit

# A new generation of products is being developed...

## Examples of novel VC product groups

### Novel pesticides

New chemical combinations to which vectors are not yet resistant

### Attractive targeted sugar baits

A sugary and scented substance that attracts mosquitoes, ticks and other vectors and poisons them

### Passive Emanators

Products that emanate repellent insecticides to deter mosquitoes

### Endectocides

Drugs, such as Ivermectin, that have the potential to kill mosquitoes when taken preventatively in humans

...but **timely access** will be crucial to address these issues

## Questions regulators are asking



**Which bodies/ministries** should assess novel products?



**Which standards** should be used for these novel products?

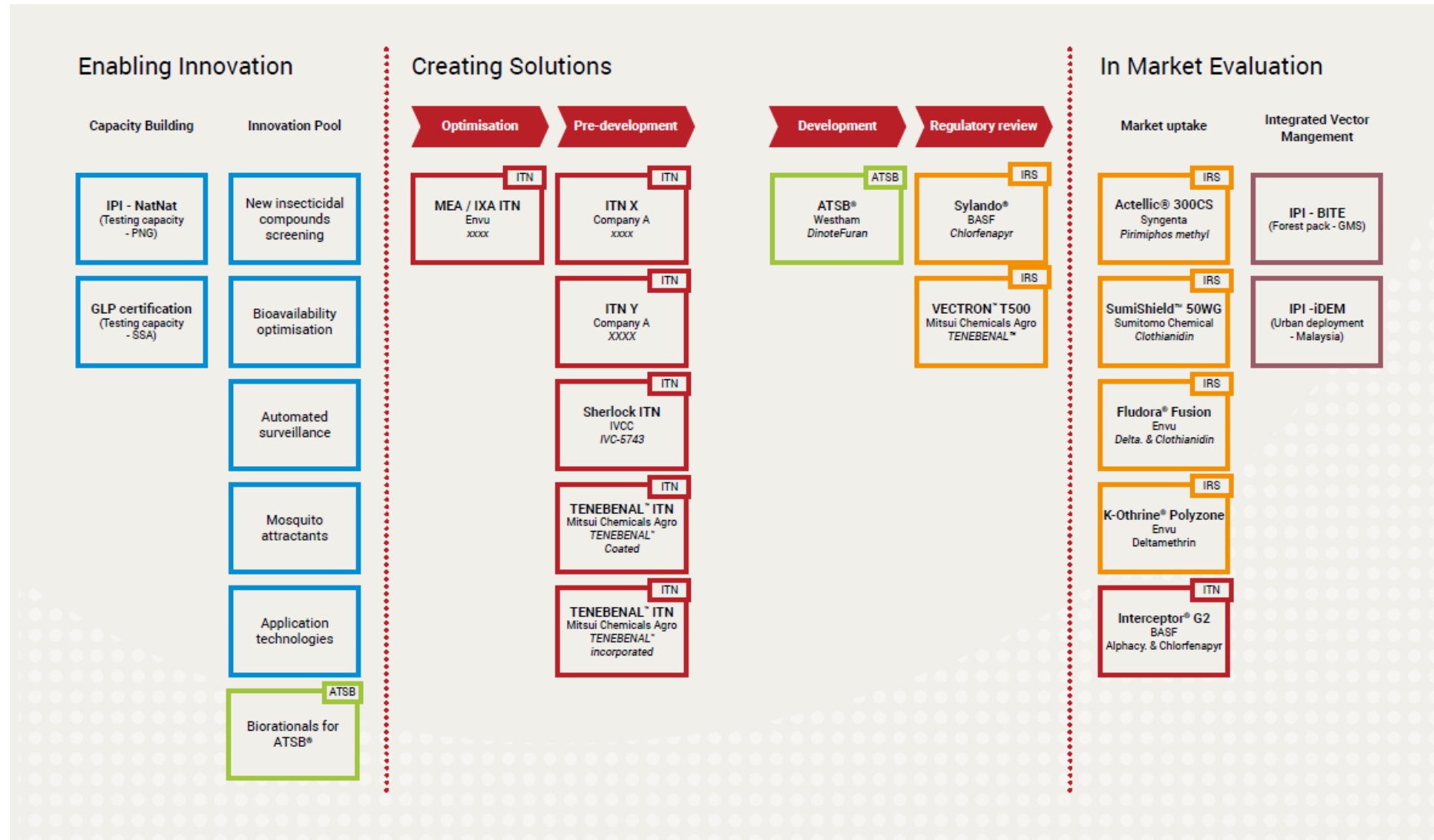


How do we deal with **cross-border impact** of these novel products?



# IVCC Development Portfolio

## IVCC Product Development Portfolio



# A new generation of products is being developed...

## Examples of novel VC product groups

### Novel pesticides

New chemical combinations to which vectors are not yet resistant

### Attractive targeted sugar baits

A sugary and scented substance that attracts mosquitoes, ticks and other vectors and poisons them

### Passive Emanators

Products that emanate repellent insecticides to deter mosquitoes

### Endectocides

Drugs, such as Ivermectin, that have the potential to kill mosquitoes when taken preventatively in humans

...but **timely access** will be crucial to address these issues

## Questions regulators are asking



**Which bodies/ministries** should assess novel products?



**Which standards** should be used for these novel products?



How do we deal with **cross-border impact** of these novel products?

# We have heard interest from all types of stakeholders to see increased collaboration for Vector Control regulation



## Regulators



*We don't know what's on the cutting edge for vector control. We need standard guidelines and want to learn from more experienced regulators and authorities.*



*I see great benefits in terms of time and quality with a regional system, where certain activities like inspections and safety evaluations are done jointly.*



## Industry



*We could get products to market much quicker if countries across the continent had standardized requirements and procedures for vector control.*



*We spend a lot of time while regulators review findings already approved by the WHO PQ process. We could speed up the process greatly if they could collaborate.*



## Procurers



*We rely on WHO PQT-VC to indicate what to buy, and it would be fantastic if country regulators could leverage those assessments.*



*There is a great opportunity for regional and global stakeholders to help grow vector control expertise and streamlined processes at the country level.*

# The WHO Prequalification process is a rigorous VC product evaluation system, offering a significant opportunity for regulators

WHO prequalification team (PQT) was set up to evaluate VC products...

- PQT-VC replaced WHOPES as the WHO evaluation process for VC products
- PQT-VC assesses product dossiers, inspects manufacturing sites and supports quality-control
- The WHO PQT-VC publishes a list of (a) prequalified VC products and (b) manufacturing sites for public health pesticidal active ingredients

... that could help increase both speed and quality of decision-making at country level



Can **lower burden** on regulatory authorities through information and analysis sharing



Can **enable capacity building** through exchanges between vector control experts



Can work with stakeholders to set **standards for a new generation** of vector control products

# Partnerships in other areas have already demonstrated high impact and addressed similar challenges



## WHO Collaborative Registration Procedure (CRP)

*Allows regulators to leverage WHO assessments, and has reduced median registration time for in-scope medicines from >1 year to <3 months for 25 African member states*



## Comité permanent inter- État de lutte contre la sécheresse au Sahel<sup>1</sup> (CILSS/COAHP)

*Enables manufacturers to register pesticide products in 12 member states through a single, 2-3 month joint review process*



## EAC Medicines Regulatory Harmonization (MRH)

*Builds regulator capacity and reduces assessment duplication via joint review process, with a reduced lead time from application to decision from 2-4 years to 225 days*

This is a **critical moment**  
in the fight against  
vector-borne diseases



We need to **engage now**  
to seize this opportunity  
and access new, effective  
tools



Thank you



---

**Stakeholders Workshop on Pilot of the Collaborative Registration  
Procedure (CRP) of Vector Control Products  
Region/Countries: WHO AFRO region  
Venue: Azalai Hotel, Cotonou, Benin  
Dates: 28-29 September 2023**

**Introduction to Facilitated Registration Pathways and  
Collaborative Registration Procedure (CRP)**

**Agnes Sitta Kijo**

Technical Officer, Facilitated Product Introduction  
Regulation and Prequalification Department  
WHO



# Facilitated Regulatory Pathways (FRP) as a solution to NRAs

**When timely access to quality-assured products is compromised...**

**FRPs, as a solution for NRAs and public health**

**What are Facilitated Regulatory Pathways (FRPs)?**



NRAs carry great responsibilities in ensuring timely access to quality assured products to their population

Internal factors: low maturity of many regulatory systems, lack of resources and expertise in-house, and lack of collaboration between countries

External factors: increasing complexity of supply chains and global challenges, such as health emergencies



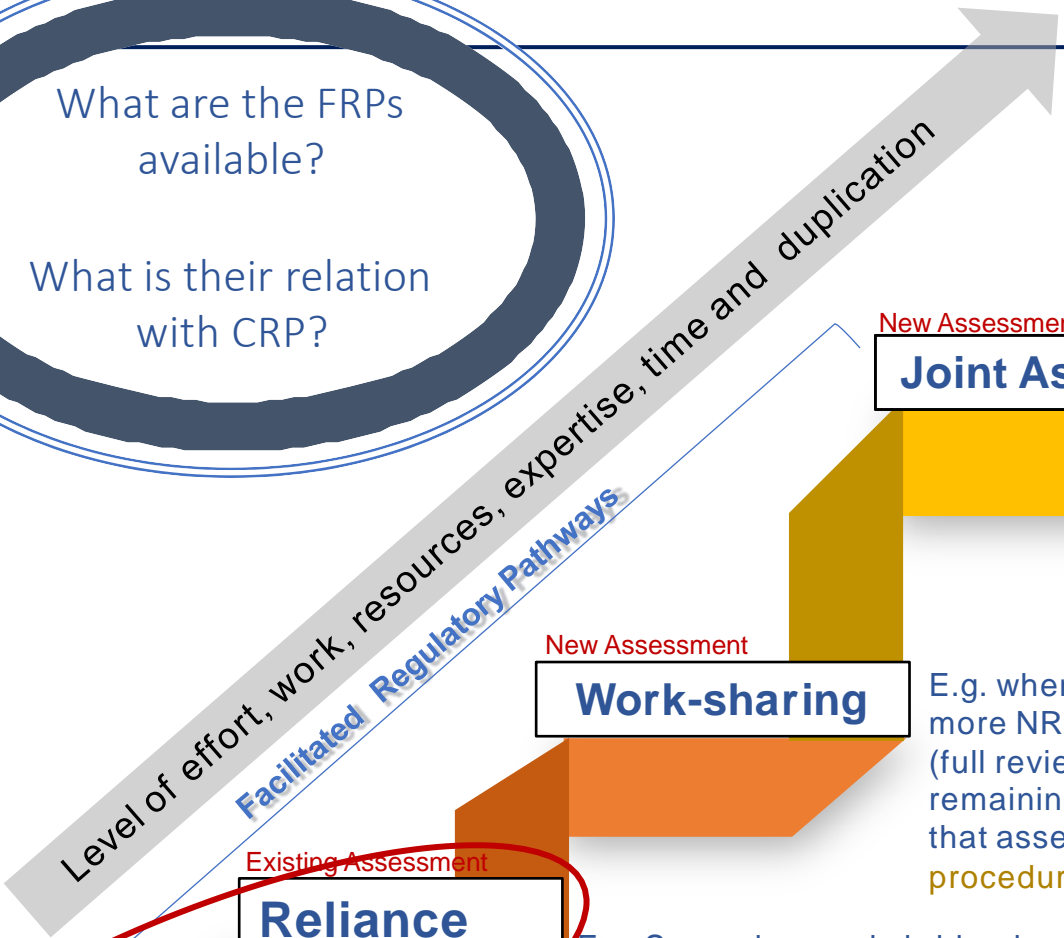
- Overwhelm NRAs - lengthy regulatory approvals of much needed medical products
- Patients' timely access to much-needed quality-assured medicines is compromised

FRP are a type of regulatory pathways available to NRAs, which are meant to facilitate and accelerate the regulatory decisions and the introduction of quality-assured products in countries, through the use of the concepts of reliance and collaboration. When well implemented:

- NRAs leverage on the work performed by others, improving efficiency of the regulatory systems by avoiding duplication of regulatory efforts and work
- NRAs optimize the use of human and financial resources and increase expertise and build capacities
- NRAs reduce the time needed to process a product application and reduce workload and backlog at NRAs
- NRAs perform science-based and transparent regulatory decision-making, while maintaining national independence on their decisions
- NRAs ensure timely access to priority quality-assured products in countries.

What are the FRPs available?  
What is their relation with CRP?

Selection of FRP for a product based on the risk-based approach of each NRA



Existing Assessment  
**Recognition**

Existing Assessment  
**Reliance**

New Assessment  
**Work-sharing**

New Assessment  
**Joint Assessment**

New Assessment  
**Full/Accelerated assessment**

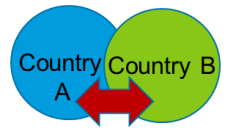
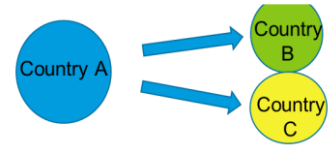
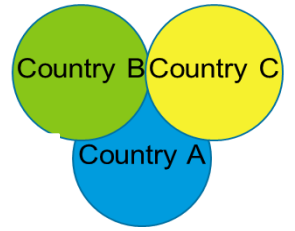
E.g. Product approval based on "SRA" or WHO PQ. Verification of the sameness without further review or assessment of product information. - **CRP**

E.g. Secondary and abridged reviews of existing primary reports generated from "SRA" or WHO PQ - **CRP** and EU mutual recognition

E.g. when it is agreed between 2 or more NRAs that only 1 NRA assesses (full review or with reliance) and the remaining ones grant MA based on that assessment. - **EU decentralized procedure, ZAZIBONA**

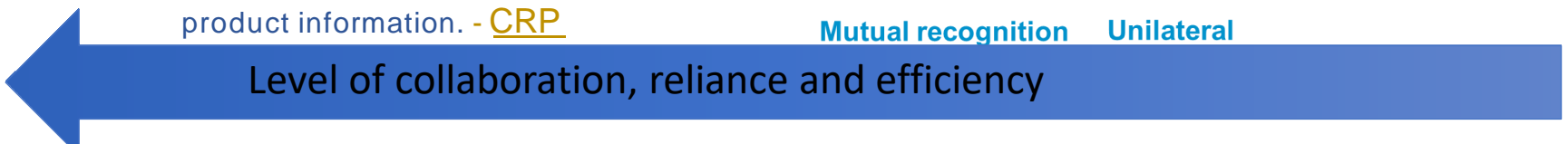
E.g. Assessment conducted jointly by 2 or more NRAs (full review or with reliance) - **EU centralised procedure, ASEAN JA or EAC JA**

Assessment of full product dossier conducted by the NRA



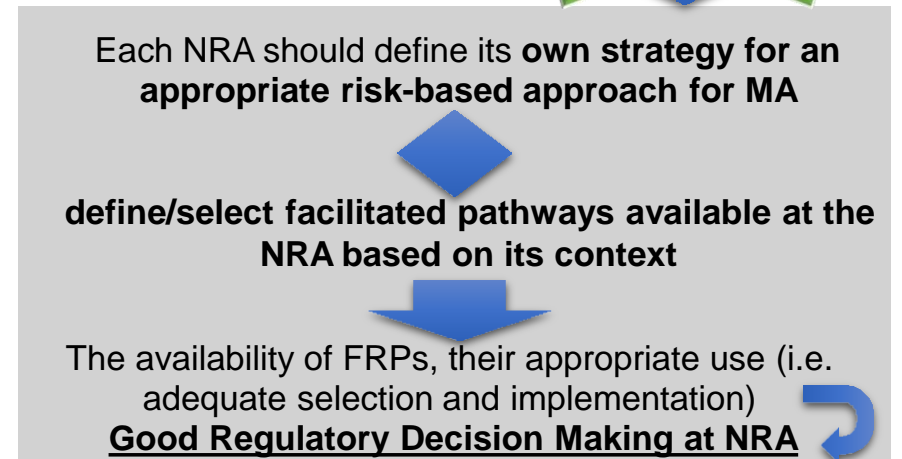
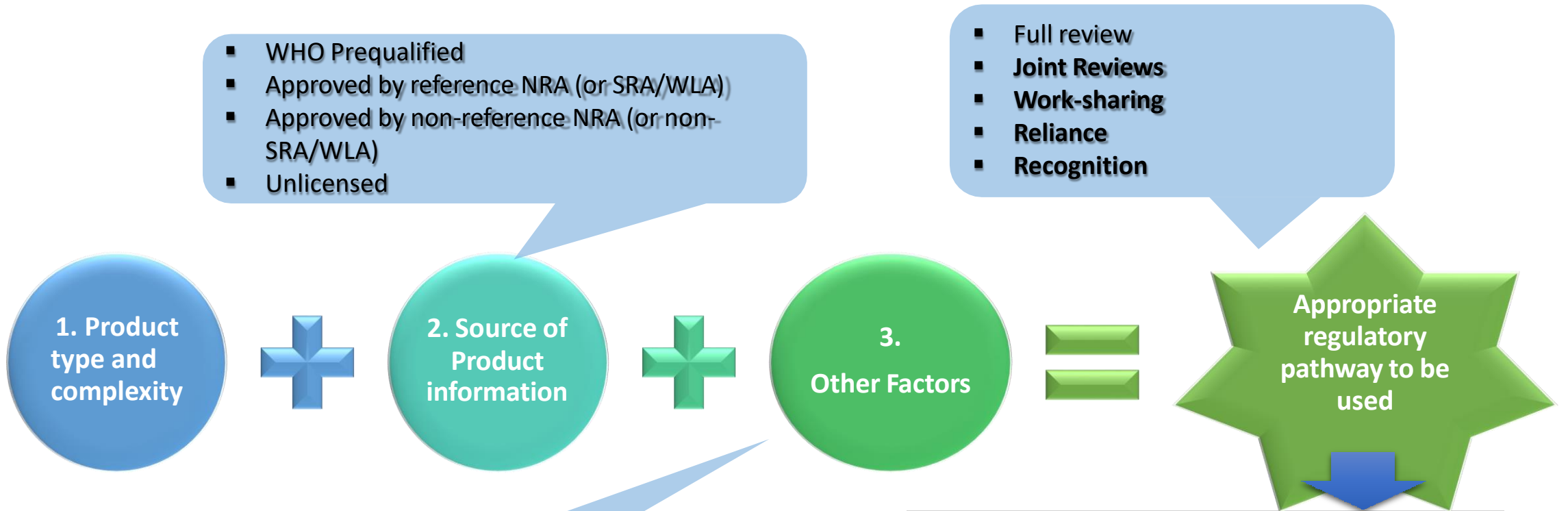
**Mutual recognition**

**Unilateral**



# Regulatory Risk-based approach to implement pathways:

Key considerations for Good Regulatory decision-making processes for quality-assured products



# But how can NRAs apply FRPs in a confident manner?

WHO supports countries and coordinates mechanisms that facilitate regulatory decisions and products introduction by countries

WHO FPI Webpage: <https://www.who.int/teams/regulation-prequalification/regulation-and-safety/facilitated-product-introduction>

Aligned with WHO GRP and WHO GReIP

1. Support to countries for the implementation of FRPs, as part of implementation of CRP and other Reliance approaches

Individual countries, through CRP and RJA

Regional systems, through CRP and RJA

2. WHO Mechanisms for collaboration/reliance between countries:

Collaborative Registration Procedure (CRP)

1. WHO Prequalified products
2. SRA assessed and/or approved products

3. Pilot on CRP-lite with FDA on HIV products  
Programmes aimed to facilitate and accelerate product registration and introduction in countries for specific public health needs and emergencies, e.g. COVAX (COVID-19 vaccines)

3. WHO supported activities for collaboration and Work-

Technical support to Regional Joint Assessments and work-sharing arrangements among cooperating countries (ASEAN JA, African Regional JAs, CRS)

EU-M4All Procedure & Swissmedic MAGHP program, which aim to facilitate product introduction in countries based on reliance, following EMA opinion or Swissmedic approval

Reliance projects or programmes, such as Reliance for Post-Approval changes

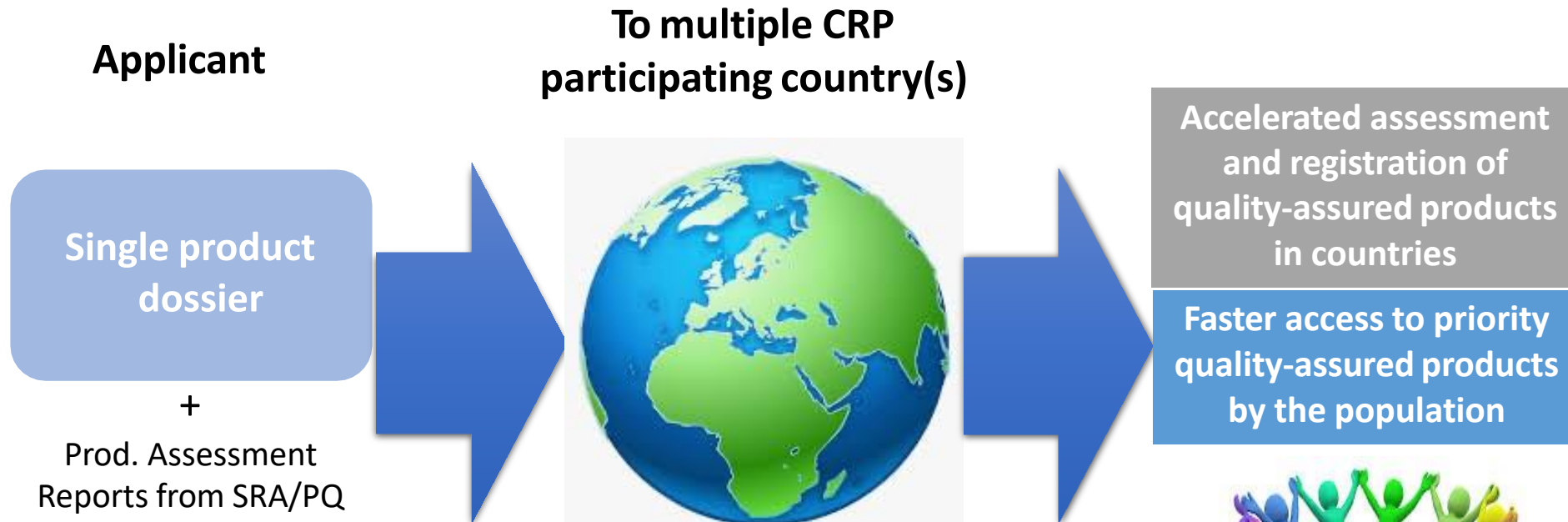


# Example of FRP- Collaborative Registration Procedure

(CRP)

CRP facilitates exchange of information to accelerate national registrations in countries through the provision to NRAs of detailed assessment and inspection reports generated by reference NRAs/PQ

WHAT it is and HOW does it work?



# Implementation of FRP in countries

---

## 5 fundamental questions NRAs need to answer to properly implement FRPs, incl. CRP:

1. Do the **national regulations of your country allow your NRA to apply reliance approaches towards MA activities?**  
On the contrary, do they impede the use of reliance in your NRA for MA? If yes, is there an opportunity for your NRA to incorporate reliance provisions as part of upcoming revisions of the NRA legal framework?
2. Are there **guidelines, policies or regulations at the NRA that define the reference authorities or institutions** in which your NRA can rely upon?
3. Are there **Guidelines to guide stakeholders on the existing facilitated pathways at the NRA**, respective Admin and technical requirements (for initial approval and PAC)?
4. Are there **internal procedures/SOPs to guide the NRA staff on the process of facilitated pathways applications**, respective procedures to be followed and requirements to be met (for initial approval and PAC)?
5. Did the **relevant NRA staff received adequate training on the procedures above to process FRPs**, including technical trainings?



# WHO support

---

1. WHO individual meetings/trainings: Applicants-WHO

2. WHO Advocacy meetings/Workshops on CRP to applicants

3. Annual Meeting on CRP – open sessions to applicants

4. Regular interactions with applicants through different channels to support the applications

5. The first 1-3 products/submissions, WHO to follow-up closer with applicants and NRAs to provide support.



*\*Take  
home message*

- It is overwhelming for NRAs at all maturity levels to fulfil all regulatory work alone and independently from other regulators;
- **There are several tools nowadays available to NRAs and Industry to facilitate the regulatory decisions**, ensuring timely access to quality-assured products in countries and good regulatory-decision making. FRPs and mechanisms such as CRP and Joint assessments, are some of those tools available, using the concept of collaboration, reliance and work-sharing between NRAs, which is the future of medical products regulation.
- Applying those concepts, **NRAs and industry are able to make the best with their available resources and time**, reducing duplication of efforts and workload.



# Questions and Answers

**Agnes S. Kijo**  
Email: [kjoa@who.int](mailto:kjoa@who.int)

# Stakeholders Workshop on Pilot of the Collaborative Registration Procedure (CRP) of Vector Control Products

Cotonou, Benin  
28 -29 Septembre 2023

## Overview of Collaborative Registration Procedure

Sunday Kisoma,  
Consultant, Facilitated Product Introduction,  
WHO – MHP/RPQ/REG/FPI



# CRP mechanisms and product scope

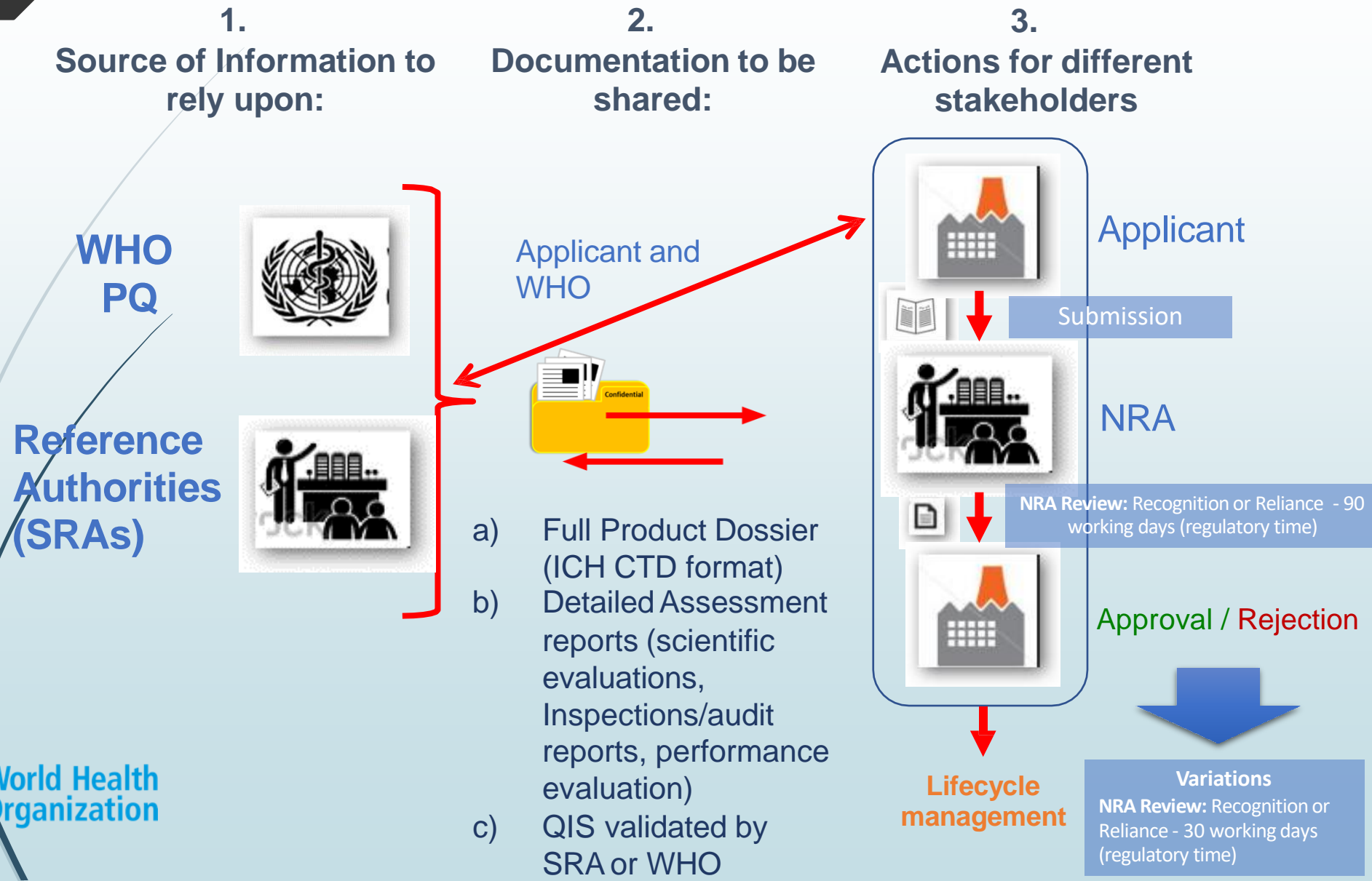
## PQ CRP - products prequalified by WHO via full assessment:

- Medicines
- Vaccines
- Biotherapeutics
- IVDs
- Applies to therapeutic areas in the scope of PQ

## SRA CRP - any product assessed or approved by an SRA:

- Innovative and generic products (chemicals or biologicals): Medicines/Pharmaceuticals, multisource/generics, vaccines, biosimilars, biotherapeutics, etc.
- Products Prequalified by WHO via Abridged review (SRA approved)
- Products approved by special routes or provided with positive scientific opinion: US FDA tentative approval, EU M4-all (Article 58), Swissmedic Marketing Application for Global Health Products.
- Applies to any therapeutic area

# CRP Process (PQ CRP or SRA CRP)





# Fundamental requirement : sameness of product

1. Same product dossier;
2. Same qualitative and quantitative formulation,
3. Same manufacturing site(s) for drug substance and drug product,
4. Same manufacturing chain, processes, control of materials and finished product, and in the case of vaccines also by the same batch release scheme;
5. Same excipients, active ingredient and finished product specifications;
6. the same essential elements of product information for pharmaceutical products, in the case of vaccines by the same product information, packaging presentation and labelling.
7. Same provisions as for SRACRP medicines, vaccines, and therapeutics
8. Add: Specific national requirements
  - application fees
  - product samples ..sometimes API samples
  - quality information summaries
  - site inspections





# CRP win-win outcomes for all concerned stakeholders

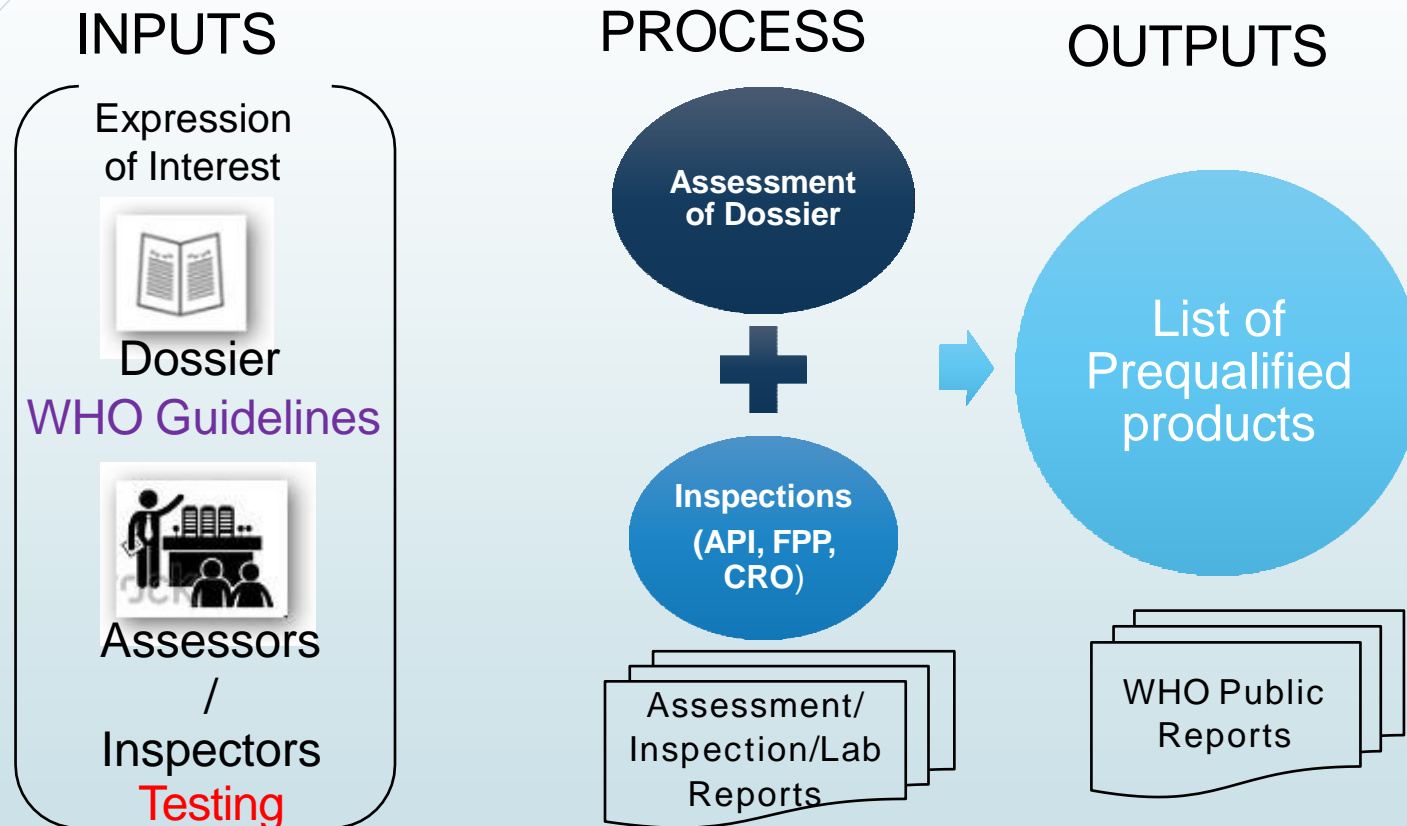
## NRAs

- Providing a **convenient tool and procedure** for NRAs wishing to **apply reliance**, allowing them to **leverage the work performed by other** authorities, and **making their registration system more efficient and responsive** to the country population needs
- Having access to **data well organized in line with international and stringent requirements** - Availability of detailed SRA/WHO assessment and inspection outcomes
- **Opportunity for well-informed and quality decision-making** at NRAs, **saving efforts, resources** (human and financial) and **time**, maintaining their national independency
- **Capacity Building component** – NRAs can learn from SRA/WHO assessment reports
- **Introduction of quality-assured products** in the country in a **faster** manner.

# CRP win-win outcomes for all concerned stakeholders

- Providing a procedure to facilitate and accelerate national registration processes, with appealing registration timelines;
- Only one single dossier for multiple countries - harmonized data for national applications and registrations;
- Reduced burden of duplicated national GMP inspection to manufacturers and laboratory testing prior to registration;
- Enhanced and facilitated collaboration, interactions and information exchange with the NRAs, WHO and SRAs;
- Savings on time and resources;
- Allows more efficient post-registration maintenance.

# WHO PQ Process



[+ About Medicines Prequalification](#)

[+ What We Do](#)

[Documents A-Z](#)

[+ Prequalification Pipeline](#)

[- Prequalified Lists](#)

[+ Finished pharmaceutical products](#)

[Active pharmaceutical ingredients](#)

[Medicines quality control laboratories](#)

[FPPs and APIs Eligible for Prequalification \("EOIs"\)](#)

[+ Prequalification Procedures & Fees: FPPs, APIs & QCLs](#)

[+ Post-prequalification Procedures & Fees: APIs, FPPs, QCLs](#)

[+ Prequalification Reports](#)

[+ Collaborative Procedures for Accelerated Registration](#)

[+ Guidance Documents](#)

[+ Pilot Prequalification of Biotherapeutic Products](#)

## Medicines/finished pharmaceutical products

This list contains finished pharmaceutical products used to treat HIV/AIDS, tuberculosis, malaria and other diseases, and for reproductive health, that have been assessed by WHO and found to be acceptable, in principle, for procurement by UN agencies.

[view medicine list >](#)

## Active pharmaceutical ingredients

This list contains sources of active pharmaceutical ingredients (APIs) that have been assessed by WHO and found to be acceptable, in principle, for use in finished pharmaceutical products procured by United Nations agencies.

Most of the APIs listed are those for which — at the time of assessment — submitted data and information submitted were evaluated and found by PQTm to meet WHO norms and standards and for which — at the time of inspection — the manufacturing site(s) were found to comply with WHO Good Manufacturing Practices. A small number of APIs have been listed on the basis of assessment and inspection carried out by stringent regulatory authorities who are willing to share information with WHO.

**Disclaimer:** Inclusion in the list of prequalified APIs does not constitute a WHO endorsement or warranty of fitness of purpose of the API for use in a particular finished pharmaceutical product (FPP), or of the safety or efficacy of the resultant FPP for treatment or health care. It remains the ultimate responsibility of the FPP manufacturer to ensure that the API, as accepted in principle, is suitable for the manufacture of the specific FPP.

[see more >](#)

## Medicines quality control laboratories

<https://extranet.who.int/pqweb/medicines>

# PQ CRP for medicines, vaccines & IVD.: 59 Participating NRAs, plus 1 Regional Economic Community



\* CARICOM  
Member States: Antigua and Barbuda, Bahamas, Belize, Dominica, Grenada, Haiti, Jamaica, Montserrat, Saint Lucia, St. Kitts and Nevis, St Vincent and the Grenadines, Suriname and Trinidad and Tobago  
Associate Member States: Anguilla, Bermuda, British Virgin Islands, Cayman Islands and Turks and Caicos Islands

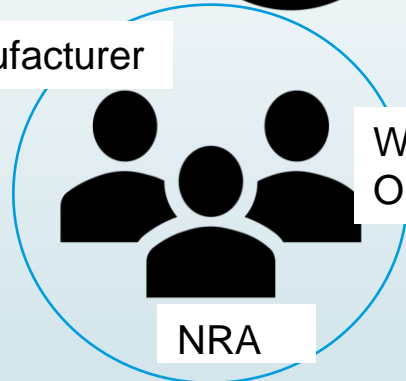


# PQ CRP: Country submissions and registrations of medicines



Median = 69 days

manufacturer



WH  
O



903 registrations

More than 1500 product submissions  
(321 medicines)



63 countries plus  
CARICOM



## IVD

## In Vitro Diagnostics

+ About In Vitro Diagnostic & Male Circumcision Device Prequalification

+ What We Do

Documents A-Z

### Prequalified In Vitro Diagnostics

Prequalified Male Circumcision Devices

In Vitro Diagnostics Under Assessment

IVDs Eligible for WHO Prequalification

MCDs Eligible for Prequalification

MCDs Under Assessment

+ Prequalification Procedures & Fees: IVDs

## Prequalified In Vitro Diagnostics

The List of WHO-prequalified In Vitro Diagnostic products contains diagnostics used to diagnose a number of conditions and diseases, and that have been assessed by WHO and found to be acceptable, in principle, for procurement by UN agencies.

- [List of prequalified in vitro diagnostic products \(pdf version\)](#)
- [List of prequalified in vitro diagnostic products \(xls version\)](#)

## General information – WHO List of Prequalified In Vitro Diagnostic Products

The WHO List of Prequalified In Vitro Diagnostic Products is updated regularly, generally with the inclusion of newly-prequalified products.

Diagnostic products are added to the list (following the voluntary participation of relevant applicants) as and when the data on such products has been assessed and evaluated, and relevant sites inspected by WHO, and considered (the time of the assessment, evaluation and inspection) to meet WHO prequalification requirements, as described elsewhere on this web site. WHO cannot represent that the listed products and manufacturing sites will continue to meet the aforesaid standards. WHO may suspend or remove products from the list based on information that may subsequently become available to it.

- **Type of reports shared with NRAs**
  - ✓ Three reports: Dossier assessment report, Site audit assessment report and performance evaluation report
- **Verification of sameness of the WHO-prequalified product vs submitted dossier**
  - ✓ the same product name
  - ✓ the same regulatory version
  - ✓ the same product code(s)
  - ✓ the same site of manufacture and quality management system;
  - ✓ the same data on quality, safety and performance;
  - ✓ the same design, with the same components from the same suppliers;
  - ✓ the same information, labelling and packaging, including instructions for use and
  - ✓ intended use.



# List of SRAs as per current WHO Guidelines

## TRS 1003 - 51st report of the WHO Expert Committee on Specifications for Pharmaceutical Preparations

WHO Technical Report Series 1003

14 June 2017 | Technical document



### Overview

The WHO Technical Report Series makes available the findings of various international groups of experts that provide WHO with the latest scientific and technical advice on a broad range of medical and public health subjects. Members of such expert groups serve without remuneration in their personal capacities rather than as representatives of governments or other bodies; their views do not necessarily reflect the decisions or the stated policy of WHO.

<https://www.who.int/initiatives/who-listed-authority-reg-authorities/SRAs>

Based on the above interim definition, the following is the list of the countries whose NRAs are designated as SRAs.

Australia	Germany	Netherlands
Austria	Greece	Poland
Belgium	Hungary	Portugal
Bulgaria	Iceland	Romania
Canada	Ireland	Slovakia
Croatia	Italy	Slovenia
Cyprus	Japan	Spain
Czech Republic	Latvia	Sweden
Denmark	Liechtenstein	Switzerland
Estonia	Lithuania	United Kingdom
Finland	Luxembourg	United States of America
France	Malta	Norway

+ EMA

# SRA CRP : 7 participating SRAs

As of 1 December 2022:

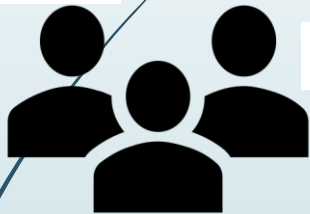
1. **European Medicines Agency (EMA)**
2. **UK Medicines and Healthcare products Regulatory Agency (MHRA)**
3. **Dutch MEB**
4. **Swiss Agency for Therapeutic Products, (Swissmedic)**
5. **Therapeutic Goods Administration Australia (TGA)**
6. **Finish Medicines Agency (FIMEA)**
7. **Medical Products Agency of Sweden (MPA) (upcoming submissions)**

# SRA CRP : Submissions and Countries Registrations



**Median = 120 days** (regulatory time + applicant time)

manufacturer



WHO



- 230 product submissions (approximately 80 from 2018 to July 2021)
- 115 registrations (approximately 50 from 2018 to July 2021)

NRA



- 50 medical products (16 products from 2018 to July 2021)

Malaria, HIV/AIDS, Tuberculosis, Rep. and maternal Health, Haemophilia, Pneumococcal vaccines, Oncology, Immunostimulants, Analgesics and Anaesthetics, COVID-19 therapeutics, Psycholeptics.

- 47 countries + CARICOM (24 from 2018 to July 2021)

# Relevant Tools and Resources

55th report of the WHO Expert Committee on Specifications for Pharmaceutical Preparations (ECSP)

## Annex 10

### **Good reliance practices in the regulation of medical products: high level principles and considerations**

#### **Background**

WHO supports reliance on the work of other regulators as a general principle in order to make the best use of available resources and expertise. This principle allows leveraging the output of others whenever possible while placing a greater focus at national level on value-added regulatory activities that cannot be undertaken by other authorities, such as, but not limited to: vigilance, market surveillance, and oversight of local manufacturing and distribution. Reliance

## Annex 11

### **Good regulatory practices in the regulation of medical products**

#### **Background**

A fundamental role of government is to protect and promote the health and safety of the public, including by delivering health care. A well-functioning health care system requires available, affordable medical products that are safe, effective and of assured quality. As medical products are essential in the prevention, diagnosis and treatment of disease, the consequences of substandard and falsified medical products can be life threatening. This is a concern, as users of medical products

WHO Good Reliance Practices

WHO Good Regulatory Practices

WHO Technical Report Series 996, 2016

# WHO Expert Committee on Specifications for Pharmaceutical Preparations

Fiftieth report

Published 

## Annex 8

### Collaborative procedure between the World Health Organization (WHO) Prequalification Team and national regulatory authorities in the assessment and accelerated national registration of WHO-prequalified pharmaceutical products and vaccines

1. Definitions	264
2. Background information	265
3. Principles of collaboration	267
4. Steps in the collaboration for national registration of a pharmaceutical product or a vaccine	274
5. Collaboration mechanisms for post-prequalification and/or post-registration variations	279
6. Withdrawals, suspensions or delistings of prequalified pharmaceutical products or vaccines and national deregistrations	280
References	281
<b>Appendix 1</b> National regulatory authority participation agreement and undertaking for national regulatory authority focal point(s)	282
<b>Appendix 2</b> Consent of WHO prequalification holder for WHO to share information with the national regulatory authority confidentially under the Procedure	292
<b>Appendix 3</b> Expression of interest to national regulatory authority (NRA) in the assessment and accelerated national registration, acceptance by NRA and notification of Procedure outcomes	295
<b>Appendix 4</b> Report on post-registration actions in respect of a product registered under the Procedure	303

<https://extranet.who.int/pqweb/medicines/collaborative-registration-faster-registration>



WHO Technical Report Series 996, 2016

# WHO Expert Committee on Biological Standardization

Report of the seventy-second and seventy-third meetings

World Health  
Organization

## Annex 4

### Collaborative procedure between the World Health Organization and national regulatory authorities in the assessment and accelerated national registration of WHO-prequalified in vitro diagnostics

<b>1. Introduction</b>	227
<b>2. Purpose and scope of the Procedure</b>	228
<b>3. Terminology</b>	229
<b>4. Principles and general considerations</b>	230
4.1 Participating parties	230
4.2 Sameness of the WHO-prequalified and nationally registered IVD	230
4.3 Submissions format and content of product dossiers for NRAs	231
4.4 Information shared under the Procedure	232
4.5 Applicable national registration fees	233
4.6 Participating authority commitments	233
4.7 Regulatory decision(s) on a WHO-prequalified IVD	235
4.8 Manufacturer commitments	235
<b>5. Steps in the Procedure for market authorization of a WHO-prequalified IVD</b>	236
<b>6. Collaboration mechanisms for post-prequalification and/or post-registration changes</b>	239
<b>7. Withdrawals, suspensions or delisting of WHO-prequalified IVDs and national deregistration</b>	242
<b>8. References</b>	244
<b>Appendix 1</b> NRA participation agreement and undertaking for NRA focal point(s)	245
<b>Appendix 2</b> Consent of WHO prequalification holder for WHO to confidentially share information with the NRA under the Procedure	254
<b>Appendix 3</b> Expression of interest to NRA in the assessment and accelerated national registration, acceptance by NRA and notification of Procedure outcomes	257
<b>Appendix 4</b> Report on post-registration actions in respect of a product registered under the Procedure	265

# WHO Expert Committee on Specifications for Pharmaceutical Preparations

Fifty-second report

## Annex 11

**Collaborative procedure in the assessment and accelerated national registration of pharmaceutical products and vaccines approved by stringent regulatory authorities**

1. Background information	272
2. Glossary	273
3. Principles of collaborative procedure	275
4. Medicines	278
5. Collaboration mechanisms for management of post-registration variations	284

# WHO Expert Committee on Specifications for Pharmaceutical Preparations

Fifty-third report

## Annex 6

### Good practices of national regulatory authorities in implementing the collaborative registration procedures for medical products

1. Background	234
2. Aims and objectives	235
3. Scope	236
4. Glossary	237
5. Key principles	238
6. Essential elements of a registration system (in the context of collaborative registration procedures)	240
References	255
<b>Appendix 1</b> An example of information to applicants for registration via the WHO collaborative registration procedure	257
<b>Appendix 2</b> Verification for product submitted under the WHO collaborative procedure	259
<b>Appendix 3</b> Abridged/abbreviated review for product submitted under the WHO collaborative procedure	263
<b>Appendix 4</b> Additional information to be included in the screening checklist	279
<b>Appendix 5</b> Example of a national regulatory authority reliance model approach: information, documentary evidence and assessment activity	281
<b>Appendix 6</b> Model acknowledgement or approval letter for variations of products registered through the WHO collaborative procedure	283



# WHO Expert Committee on Specifications for Pharmaceutical Preparations

Fifty-second report

## Annex 9

### Guidance on good practices for desk assessment of compliance with good manufacturing practices, good laboratory practices and good clinical practices for medical products regulatory decisions

<b>Background</b>	273
<b>1. Introduction</b>	273
<b>2. Aim and objectives of the guidance</b>	275
<b>3. Scope of the guidance</b>	276
<b>4. Glossary</b>	277
<b>5. Essential elements of desk assessment</b>	279
5.1 High-level support and cooperation	279
5.2 Commonality of quality management systems in inspectorates	280
5.3 Convergent standards of good practices	280
5.4 Reliability and accuracy of information	281
5.5 Management tools to support consistent and objective assessment	281
5.6 Risk-based assessment of available information	281
5.7 Mutual trust and confidence among inspectorates	282
5.8 Quality assurance of the desk assessment process	282
5.9 Communication of assessment outcomes	282



# Questions and Answers

Sunday Kisoma

Thank you

Sunday Kisoma,  
Consultant, Facilitated Product Introduction,  
WHO – MHP/RPQ/REG/FPI





# Regulatory Landscape for Vector Control Products

# Project objectives



Build a **comprehensive fact base** around registering VC products in sub-Saharan Africa



Deepen the **understanding of existing challenges** through selected country reach out



Co-create **opportunities to optimize access to VC tools** through engagement with broader African stakeholders

# Involved stakeholders

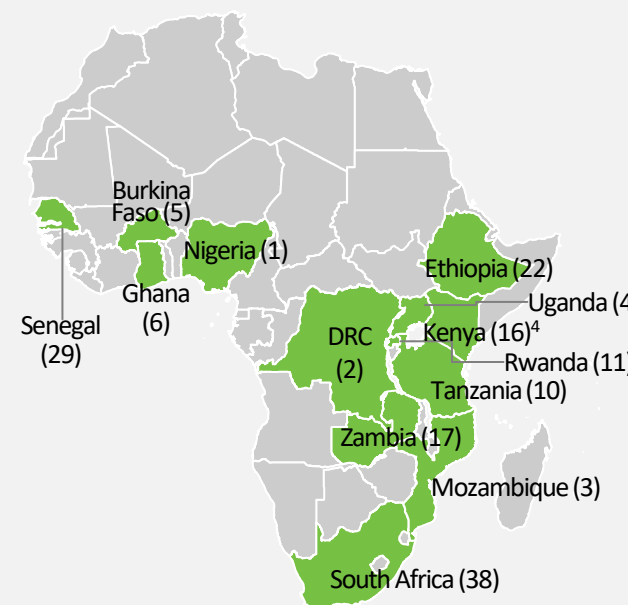


# We have created an **extensive fact-base** using stakeholder interviews and in-depth country research

Over 130 stakeholders interviewed regarding registration across the continent...

- 24 African & global partners<sup>1</sup>
- 9 RECs & pan-African leadership
- 37 Industry players & country reps
- 26 Regulatory authorities<sup>2</sup>
- 36 National Malaria Control Programs, other relevant Ministries & research institutes

...and 13 countries selected for in-depth research, including field visits for 10



Selection criteria include:

- > 2017 malaria burden<sup>3</sup>
- > Regional distribution (East, West, Central, Southern)
- > Potential regional influence

( ) : Ranking in malaria burden in 2017

1. Includes Global Fund, PMI, Unicef; 2. Includes CILSS; 3. WHO World Malaria Report 2018; 4. In progress; data still being collected  
Note: Interviews conducted between Dec 2018-July 2019

# For each focus country, the fact-base includes:

Summary of vector control tool registration

Key authorities and legislation

Overview of registration process

Descriptions of process variations and exceptions

Dossier overview

Detail on enabling environment

Today, we're only sharing our **general findings** across the continent...

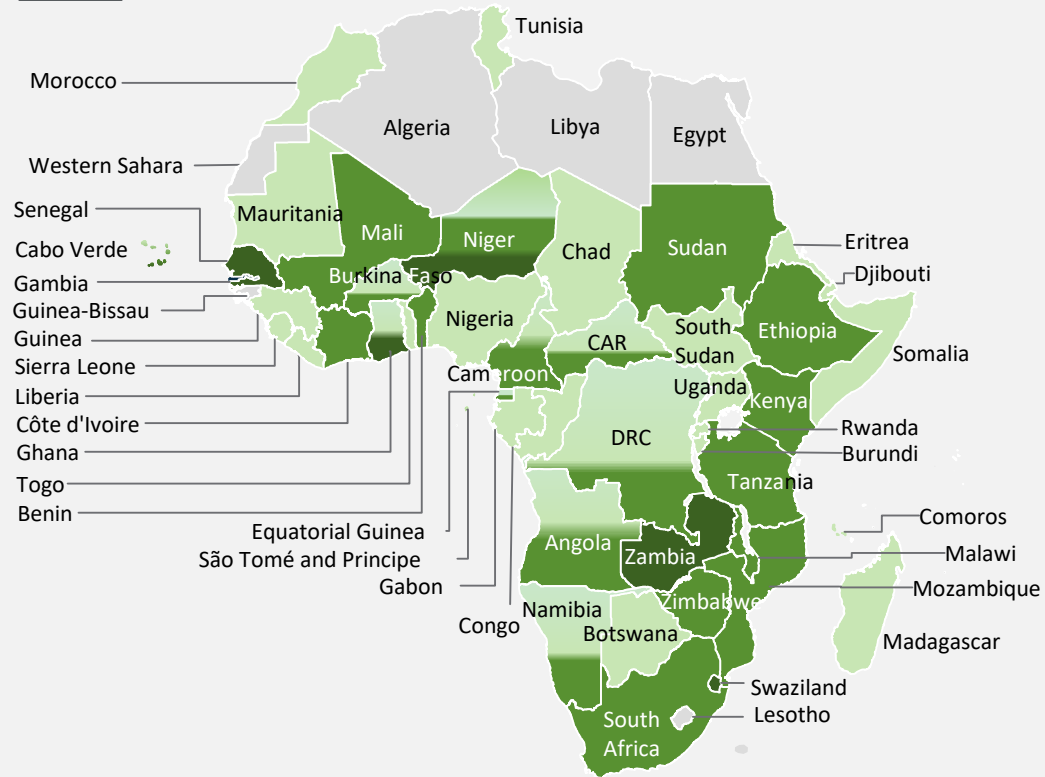
...but please visit our website to find the **full materials!**







# Across the continent, significant variation in registration authority



## Registration authority<sup>1</sup>



Registration authority most commonly the **Ministry of Health**, but **high degree of fragmentation** across the continent

Registration ministry	% of countries*
 Ministry of Health	48%
 Ministry of Agriculture	23%
 Ministry of Environment	6%
 More than one	23%

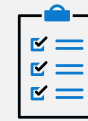
\* of the 48 African countries for which data on the registering authority was available

1. Most commonly, but not always, split authorities register different products (e.g. IRS under MoA/MoE and nets under MoH); Note: FDA is classified as MoH. Source: 2017 ALMA; Interviews Dec 2018-July 2019; BCG analysis

# Registration requirements also differ considerably

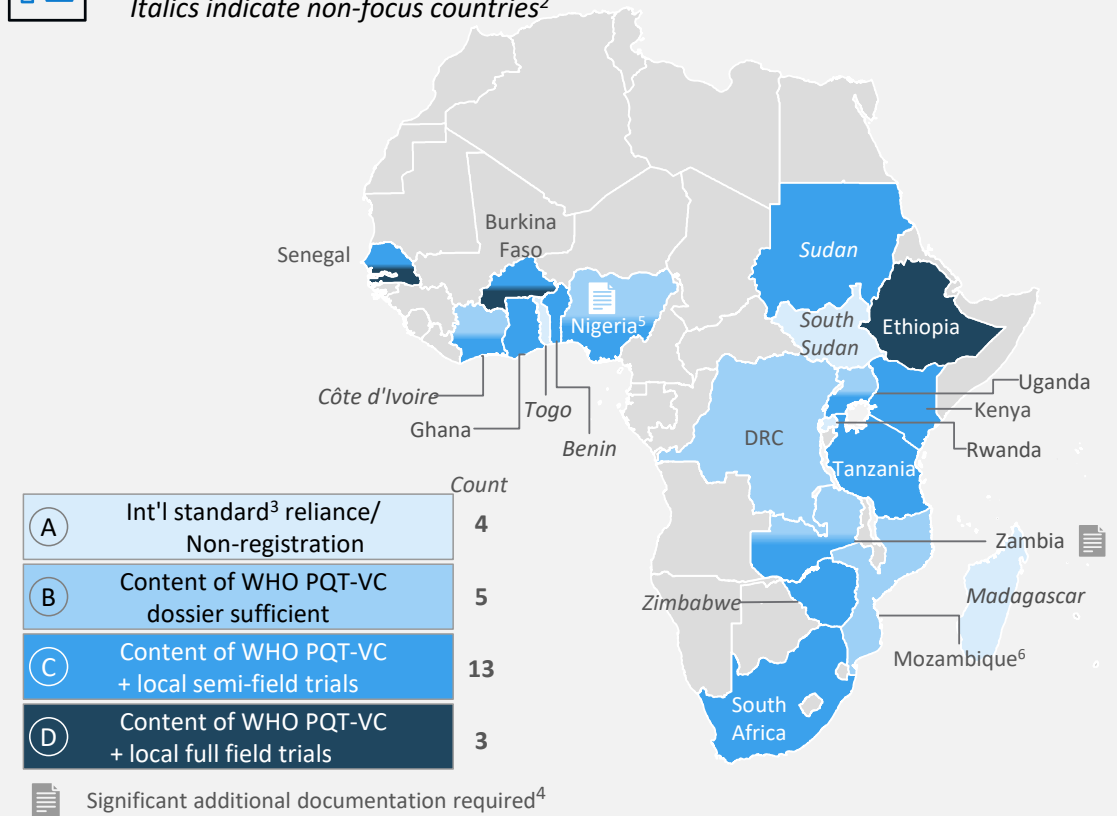
There is **no universal set of dossier requirements** specifically for vector control

The largest requirement that varies is the **length of in-country field trials**, which can have major ramifications for registration speed



## Registration requirements

*Italics indicate non-focus countries<sup>2</sup>*

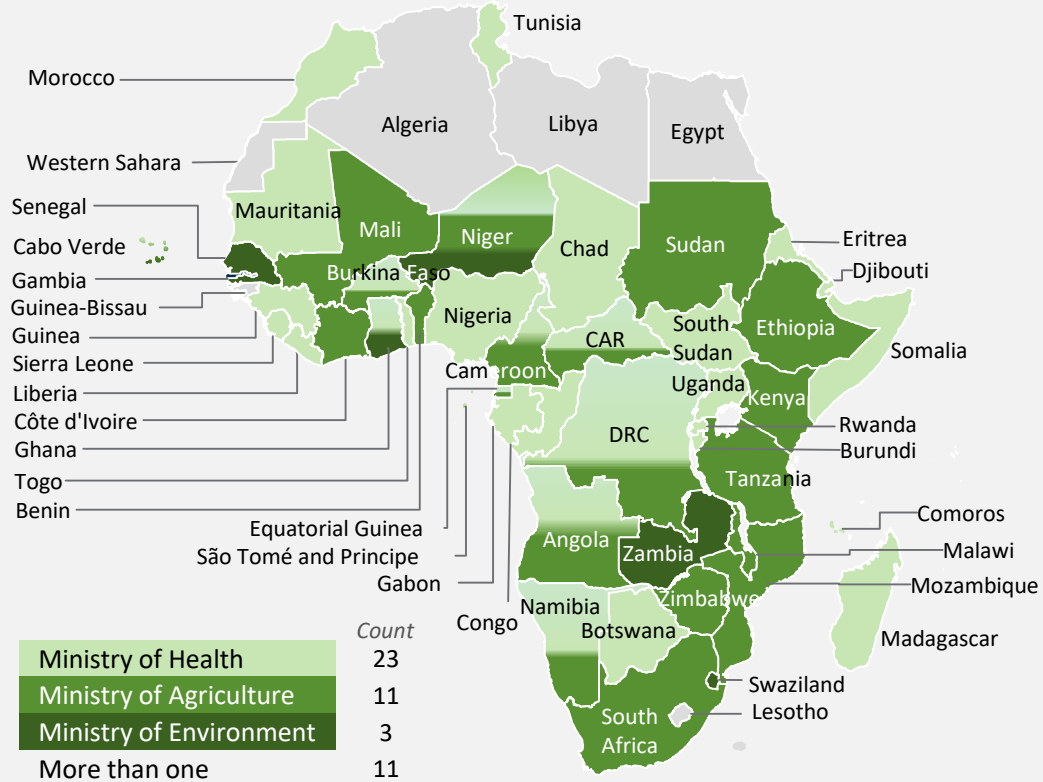


2. Country regulators were not interviewed; understanding based on interviews with int'l orgs, manufacturers, etc. 3. e.g. WHO, US FDA, etc. 4. Documentation varies, but can include additional safety certificates, environmental dossiers, labels and others requiring a significant investment from the applicant. 5. Trials are required only for new AI 6. Trials are technically required for new AI, but no historical instance of this occurring for VC products: unclear if enforced.

# In summary, African VC registration is a complex landscape

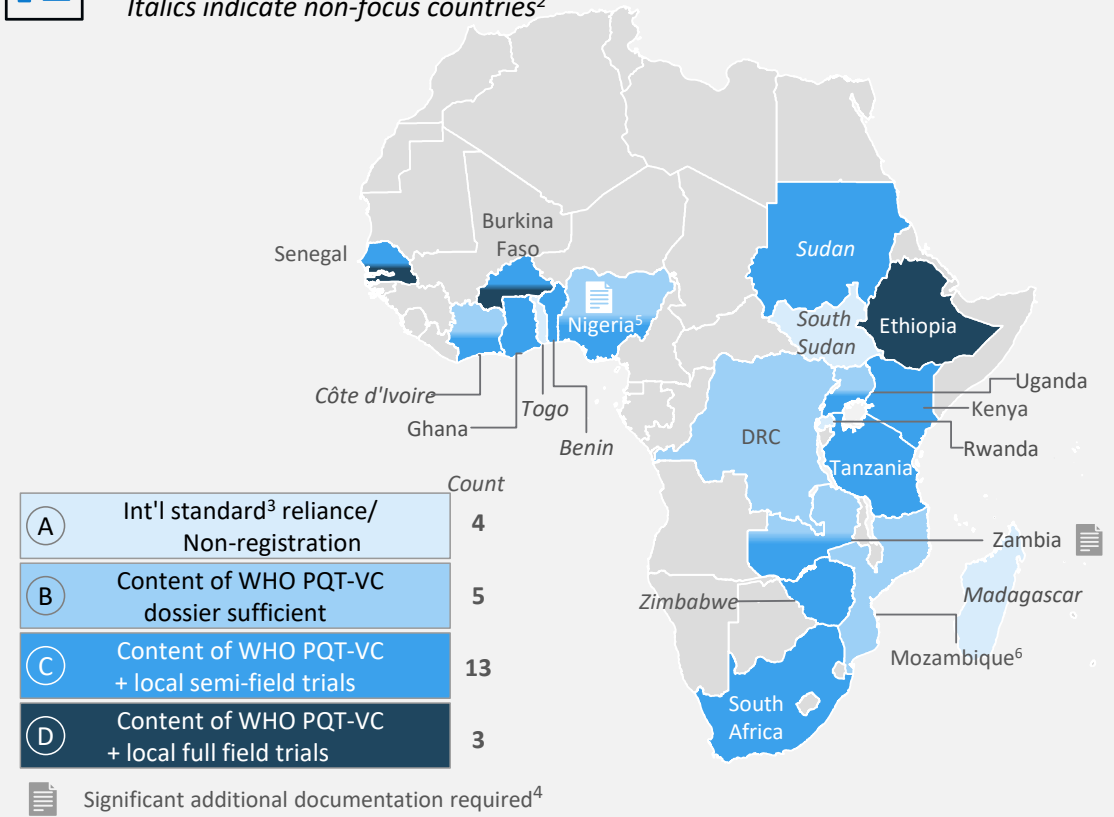


## Registration authority<sup>1</sup>



## Registration requirements

*Italics indicate non-focus countries<sup>2</sup>*



1. Most commonly, but not always, split authorities register different products (e.g. IRS under MoA/MoE and nets under MoH); 2. Country regulators were not interviewed; understanding based on interviews with int'l orgs, manufacturers, etc. 3. e.g. WHO, US FDA, etc. 4. Documentation varies, but can include additional safety certificates, environmental dossiers, labels and others requiring a significant investment from the applicant. 5. Trials are required only for new AI 6. Trials are technically required for new AI, but no historical instance of this occurring for VC products: unclear if enforced.  
 Note: FDA is classified as MoH. Source: 2017 ALMA; Interviews Dec 2018-July 2019; BCG analysis

Due to the complex nature of VC, national regulators and industry are facing multiple challenges

- 1 Unclear/overlapping mandates between national authorities

Due to the complex nature of VC, national regulators and industry are facing multiple challenges

- 1 Unclear/overlapping **mandates** between national authorities
- 2 Lack of funds to ensure adequate **evaluation or quality control**

Due to the complex nature of VC, national regulators and industry are facing multiple challenges

- 1 Unclear/overlapping **mandates** between national authorities
- 2 Lack of funds to ensure adequate **evaluation or quality control**
- 3 **Requirements** aren't tailored for Vector Control products

Due to the complex nature of VC, national regulators and industry are facing multiple challenges

- 1 Unclear/overlapping **mandates** between national authorities
- 2 Lack of funds to ensure adequate **evaluation or quality control**
- 3 **Requirements** aren't tailored for Vector Control products
- 4 Delayed **communication** between authorities



Due to the complex nature of VC, national regulators and industry are facing multiple challenges

- 1 Unclear/overlapping **mandates** between national authorities
- 2 Lack of funds to ensure adequate **evaluation or quality control**
- 3 **Requirements** aren't tailored for Vector Control products
- 4 Delayed **communication** between authorities
- 5 Insufficient **transparency** on registration process/requirements

# Selected quotes from stakeholder interviews

## 1 Unclear/overlapping mandates



*VC products are either chemicals managed by us or as medical products managed by the Ministry of Health, but we know the MoH has granted authorization for IRS, which are our jurisdiction*  
~ Ministry of Agriculture regulator

## 3 Requirements aren't tailored



*There is always a long back and forth with [country] because they require residue studies, which are simply irrelevant for a bed net*  
~ Global manufacturer

## 2 Lack of funds for adequate evaluation





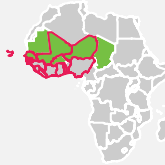

*We do a review and a chemical composition test, but don't have the appropriate capabilities to conduct efficacy trials or other lab tests*  
~ Ministry of Health regulator

## 4 Insufficient transparency



*If we knew exactly what to submit it wouldn't be a problem – but registration for VC often involves lengthy discussions about which documents are required*  
~ Global manufacturer

# We researched four on-going collaborative efforts for pesticide or medicines registration

	WHO Collaborative Registration Procedure	EAC Medicines Regulatory Harmonization	CILSS/CSP <sup>1</sup> & resulting ECOWAS efforts	SEARCH <sup>2</sup> & resulting EAC & SADC efforts
Member states				
Product focus	WHO Prequalified finished pharmaceutical products	Non-WHO Prequalified medicines	Pesticides, including VC products (regardless of WHO Prequalification)	Agricultural pesticides (regardless of WHO Prequalification)
Scope of harmonization	Guidelines, process and assessment	Guidelines, process, assessment and recommendation	Guidelines, process, assessment and recommendation	Guidelines
Years active	2012-Present	2012-Present	1992-Present	1996-Present

1. CILSS = Comité permanent inter-État de lutte contre la sécheresse au Sahel, CSP = Comité Sahélien des Pesticides 2. SEARCH = South East African Regulatory Committee on Harmonization; Source: WHO; AUDA-NEPAD; interviews; BCG Analysis

# There are two common impacts across the efforts studied



Helps regulators make **quality registration decisions**

**WHO CRP**

5 of 6 NMRAs reported to the WHO that dossier quality was higher for PQ products, making it easier for them to assess

*"We rely on WHO assessments, which allows us to focus our time on dossier sections we find most relevant for a particular application"*

**EAC MRH**

Drug companies reported that queries received from joint procedure were more stringent than for national registration



Increases **speed of country registration**

**WHO CRP**

Median registration for products in scope reduced from >1 year to <3 months

**EAC MRH**

Application to decision lead time reduced from 2-4 years to ~225 days

**CILSS/CSP**

Products registered in 9 member states with only one set of required efficacy trials and a single 1-2 month assessment

There are several **key takeaways** for the impact of collaborative efforts in regulation



Bring a **diverse set of stakeholders together** when developing potential models

There are several **key takeaways** for the impact of collaborative efforts in regulation



Bring a **diverse set of stakeholders together** when developing potential models



Respect **country sovereignty** in decision-making, and **minimize the legislative changes** required

There are several **key takeaways** for the impact of collaborative efforts in regulation



Bring a **diverse set of stakeholders together** when developing potential models



Respect **country sovereignty** in decision-making, and **minimize the legislative changes** required



Leverage **existing expertise and capabilities** in African countries/ international bodies and provide **effective capacity building support**



There are several **key takeaways** for the impact of collaborative efforts in regulation



Bring a **diverse set of stakeholders together** when developing potential models



Respect **country sovereignty** in decision-making, and **minimize the legislative changes** required



Leverage **existing expertise and capabilities** in African countries/ international bodies and provide **effective capacity building support**



Leverage **existing forums with political buy-in** of relevant stakeholders as platforms for discussion

# There are several **key takeaways** for the impact of collaborative efforts in regulation



Bring a **diverse set of stakeholders together** when developing potential models



Respect **country sovereignty** in decision-making, and **minimize the legislative changes** required



Leverage **existing expertise and capabilities** in African countries/ international bodies and provide **effective capacity building support**



Leverage **existing forums with political buy-in** of relevant stakeholders as platforms for discussion



**Iteratively incorporate learnings** throughout implementation

# There are several **key takeaways** for the impact of collaborative efforts in regulation



Bring a **diverse set of stakeholders together** when developing potential models



Respect **country sovereignty** in decision-making, and **minimize the legislative changes** required



Leverage **existing expertise and capabilities** in African countries/ international bodies and provide **effective capacity building support**



Leverage **existing forums with political buy-in** of relevant stakeholders as platforms for discussion



**Iteratively incorporate learnings** throughout implementation



Plan for **financial sustainability** from the start



Thank you